

**Annexure B**  
**Investor Complaints Data to be displayed by Stock Broker**  
**Data for every month ending October-2024**

SR. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time A (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL	NIL

**Trend of monthly disposal of complaints**

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April -2022	NIL	NIL	NIL	NIL
2	May-2022	NIL	NIL	NIL	NIL
3	June-2022	NIL	NIL	NIL	NIL
4	July-2022	NIL	NIL	NIL	NIL
5	August-2022	NIL	NIL	NIL	NIL
6	September-2022	NIL	NIL	NIL	NIL
7	October – 2022	NIL	NIL	NIL	NIL
8	November- 2022	NIL	NIL	NIL	NIL
9	December- 2022	NIL	NIL	NIL	NIL
10	January-2023	NIL	NIL	NIL	NIL
11	February-2023	NIL	NIL	NIL	NIL
12	March-2023	NIL	NIL	NIL	NIL
13	April -2023	NIL	NIL	NIL	NIL
14	May-2023	NIL	NIL	NIL	NIL
15	June-2023	NIL	NIL	NIL	NIL
16	July-2023	NIL	NIL	NIL	NIL
17	Aug-2023	NIL	NIL	NIL	NIL
18	Sep-2023	NIL	NIL	NIL	NIL
19	Oct-2023	NIL	NIL	NIL	NIL
20	Nov-2023	NIL	NIL	NIL	NIL
21	Dec-2023	NIL	NIL	NIL	NIL
22	Jan-2024	NIL	NIL	NIL	NIL
23	Feb-2024	NIL	NIL	NIL	NIL
24	Mar-2024	NIL	NIL	NIL	NIL
25	April-24	NIL	NIL	NIL	NIL
26	May-24	NIL	NIL	NIL	NIL
27	June-24	NIL	NIL	NIL	NIL
28	July -24	NIL	NIL	NIL	NIL

29	Aug-24	NIL	NIL	NIL	NIL
30	Sep-24	NIL	NIL	NIL	NIL
31	Oct-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

**Trend of annual disposal of complaints**

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
8	2024-25	NIL	NIL	NIL	NIL
	<b>Grand Total</b>				